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031204

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>Only for new nonprovisional applications under 37 CFR 1.63(b)</small>	Attorney Docket No.	SNDK.257US2
	First Named Inventor or Application Identifier	Jack H. Yuan
	Title	Scalable Self-Aligned Dual Floating Gate Memory Cell Array and Methods of Forming the Array
	Express Mail Label No.	EV437667685US

22141 U.S. PTO  
10/799180

031204

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form - <i>see page 2 of this form.</i> (Submit an original, and a duplicate for fee processing)</p> <p>2. Application:</p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Specification: (preferred arrangement set forth below) Descriptive title of the Invention, Cross References to Related Applications, Reference to Microfiche Appendix, Background of the Invention, Brief Summary of the Invention, Brief Description of the Drawings, and Detailed Description (all totaling 26 pages) Appendix(es) <u>      </u>, <u>      </u>, &amp; <u>      </u> ( <u>      </u> pages)</li> <li><input checked="" type="checkbox"/> Claims 7 pages</li> <li><input checked="" type="checkbox"/> Abstract of the Disclosure 1 page</li> </ul> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets 9</p> <p>4. Oath or Declaration <input type="checkbox"/> unsigned Total Pages 2</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 17 completed)</li> </ul> <p>c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional of prior application No. 09/925,102 Filed on <u>August 8, 2001</u>, entitled: <u>Scalable Self-Aligned Dual Floating Gate Memory Cell Array and Methods of Forming the Array.</u></li> </ul> <p>PRIOR APPLICATION INFORMATION: <u>Examiner: Dang, Phuc T.</u> <u>Group Art Unit 2818</u></p>	<p>6. <input type="checkbox"/> Microfiche Computer Program Appendix consisting of <u>      </u> pages of microfiche containing <u>      </u> frames on each page in accompanying envelope.</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)       <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> </p> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents) <u>      </u> pages</p> <p>9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input type="checkbox"/> Power of Attorney (combined when there is an <u>      </u> with Patent Declaration Assignee) <input type="checkbox"/> above.)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS) (2 pages) Citations/References &amp; <input checked="" type="checkbox"/> Copies from parent PTO Form 1449/892 (5 pages)</p> <p>12. <input type="checkbox"/> Preliminary Amendment 6 pages</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)</p> <p>14. Small Entity Status       <ul style="list-style-type: none"> <li><input type="checkbox"/> Small Entity Statement Enclosed <u>      </u> pages</li> <li><input type="checkbox"/> Statement filed in prior application; and status still proper and desired</li> <li><input type="checkbox"/> Is no longer claimed.</li> </ul> </p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other:       <ul style="list-style-type: none"> <li><input type="checkbox"/> Copy of Petition for Extension of Time filed in parent appln.;</li> <li><input checked="" type="checkbox"/> Submission of Formal Drawings (1 page) and 12 sheets of formal drawings</li> </ul> </p>
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18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

or  Correspondence address below

36257

Name	<b>Gerald P. Parsons</b>			Reg. No. 24,486
Attorneys for Applicant	<b>Parsons Hsue &amp; de Runtz LLP</b>			
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City	San Francisco	State	CA	Zip Code 94111
Country:	United States	Telephone	(415) 318-1160	Fax (415) 693-0194

## 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
9	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	0	x	\$18	=	\$ 0.00
3	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$86	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))		+		\$290.00	=		
					BASIC FEE (37 CFR 1.16(a))	=		\$ 770.00
					Total of above Calculations	=		\$770.00
					Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	=		
					TOTAL	=		\$770.00

## 20. FEES:

A check is enclosed for \$770.00.

The Commissioner is hereby authorized to credit overpayments or charge the following fees or any additional fees required to Deposit Account No. 502664:

- a.  Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b.  Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c.  Fees required under 37 CFR 1.18. (Patent Issue Fees)

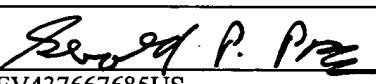
21.  Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

## 22. NEW CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	36257	<input checked="" type="checkbox"/> New correspondence address below			
NAME	Gerald P. Parsons, Parsons Hsue & de Runtz LLP				
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## 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Parsons Hsue & de Runtz LLP 655 Montgomery Street, Suite 1800 San Francisco, CA 94111 Tel. (415) 318-1160      Fax. (415) 693-0194	
Date:	March 12, 2004
Name	Gerald P. Parsons
Signature	
Express Mail Label No.	EV437667685US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jack H. Yuan, Eliyahou Harari, Yupin K. Fong and George Samachisa  
Title: Scalable Self-Aligned Dual Floating Gate Memory Cell Array and  
Methods of Forming the Array  
Application No.: Unassigned Filing Date: Herewith  
Examiner: Unassigned Group Art Unit: Unassigned  
Docket No.: SNDK.257US2 Conf. No.: Unassigned

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF FORMAL DRAWINGS**

Dear Sir:

Applicants submit twelve (12) sheets of formal drawings, consisting of Figures 1, 2, 3A, 3B, 4A, 4B, 5A, 5B, 6A, 6B, 7A, 7B, 8A, 8B, 9A, 9B, 10, 11, 12A, 12B, 13A, 13B, 14A, 14B, 15A, 15B, 16, 17, 18, 19, 20, 21, 22A, 22B, 23A, 23B, 24A, 24B, 25A, 25B, 26A, 26B, in the above-named application. If there are any questions regarding these drawings, please call the undersigned.

**EXPRESS MAIL  
LABEL NO:**

**EV437667685US**

Respectfully submitted,

*Gerald P. Parsons*  
Gerald P. Parsons  
Reg. No. 24,486

March 12, 2004  
Date

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